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I hereby certify that this document is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231, on this 27<sup>th</sup> day of October, 2000.

Typed or Printed Name of Person Signing this Certificate:

Wendy A. Frick

Signed:

Wendy A. Frick



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	Examiner: Not yet assigned
Kaumaya, et al.	)	
	)	
Serial No.: 09/632,036	)	Group Art Unit: 1614
	)	
Filed: August 3, 2000	)	
	)	
For: <b>POLYPEPTIDES AND</b>	)	Attorney Docket No.: 18525/04011
<b>POLYNUCLEOTIDES FOR</b>	)	
<b>ENHANCING IMMUNE REACTIVITY</b>	)	
<b>TO HER-2 PROTEIN</b>	)	

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts dated September 26, 2000, applicants respectfully submit the enclosed copy of executed 37 CFR 1.127 Verified Statement Claiming Small Entity Status originally filed on September 10, 1999, in the priority document, i.e., Application Serial No. 60/146,869 which was filed on August 3, 1999, and the enclosed executed Declaration and Power of Attorney. A check for \$420.00 is enclosed to cover the basic filing fee and the appropriate surcharge and a check in the amount of \$170.00 is enclosed to cover the additional claims fees. A copy of the Notice to File Missing Parts received from the Patent Office is also enclosed.

If additional fees are required please charge them to our Deposit Account No. 03-0172. Please credit any overpayment to same Deposit Account. A duplicate of this paper is attached.

Respectfully submitted,

Date: October 27, 2000

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/632,036	08/03/2000	Pravin T.P. Kaumaya	18525-04011

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Suite 1400  
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## FORMALITIES LETTER



\*OC000000005428797\*

Date Mailed: 09/26/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1000.

*A copy of this notice **MUST** be returned with the reply.*

355.00  
65.00  
90.00  
80.00

11/03/2000 KZENDIE 00000108 09632036

01 FC:201  
02 FC:205  
03 FC:203  
04 FC:202

9/26/00

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

